

Klaas Financial Asset Advisors, LLC

4707 Perry Ridge Lane
Loves Park, IL 61111
Firm CRD No. 121399

March 31, 2023

Who We Are	
Who is providing this notice?	Klaas Financial Asset Advisors, LLC ("Klaas")
What do we do with your personal information?	
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or services you have with us. This information can include: <ul style="list-style-type: none"> ▪ Social Security Number ▪ Identification ▪ Income and Employment Information ▪ Assets and Credit History ▪ Investment Experience, investment objectives and risk tolerance ▪ Account Transactions History
How?	All financial companies need to share non-public personal information to run their everyday business. In the section below, we list the reasons financial companies can share their non- public personal information; the reasons we choose to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Klaas share?	Can you limit this sharing?
For our everyday business purposes. Such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
For our marketing purposes. To offer our products and services to you.	YES	NO
For joint marketing with other financial companies.	NO	Not applicable
For our affiliates everyday business purposes. Information about your transactions and experience.	Not applicable	Not applicable
For affiliates to market to you.	Not applicable	Not applicable
For non-affiliates to market to you.	NO	Not applicable

Questions?	Call us at 877-495-5227 or go to: www.klaasfinancial.com
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What We Do

How does Klaas protect my personal information?	<p>To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.</p> <p>We train our employees in the proper handling of personal information. We require companies that help provide our services to you to protect the confidentiality of personal information they receive</p>
How does Klaas collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> ▪ open an account; ▪ seek advice about your investments; ▪ enter into an investment advisory relationship; ▪ tell us about your investment or retirement portfolio. <p>We may also obtain your personal information from your account custodian or brokerage firm.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> ▪ sharing for affiliates' everyday business purposes – information about your creditworthiness; ▪ affiliates using your information to market to you; ▪ sharing for non-affiliates to market to you. <p>State laws and individual companies may give you additional rights to limit sharing.</p>

Definitions

Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <p><i>Klaas does not have any affiliates.</i></p>
Non-affiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <p><i>Klaas does not share your personal non-public information with nonaffiliates for marketing purposes. See "Other Important Information" below.</i></p>
Joint Marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <p>We do not engage in any joint marketing arrangements.</p>

Other Important Information

Sharing information with third parties. Klaas may share your personal information with nonaffiliated third parties that we use in providing services to you, such as your account custodian or brokerage firm, software vendors, or website application providers. In such cases, these unaffiliated third parties represent that they will protect the privacy and confidentiality of your non-public information. With your authorization, we may also share information with your legal or accounting professionals, or your appointed trusted contacts or agents.

Klaas Professional Network. We have developed the Klaas Professional Network (KPN), which is a list of service providers with whom Klaas has worked in the past and who we believe provide quality services to their clients. With your authorization, Klaas and service providers who participate in KPN agree to share information with each other as necessary to provide coordinated services to you. We also periodically host educational seminars, which may feature guest speakers who are affiliated with a firm that participates in the KPN or other service providers. If you choose to participate in an educational seminar, your contact information may be shared with guest speakers. We do not share your personal non-public information with guest speakers without your permission.

Amendments and updates. We reserve the right to change our privacy policy practices at any time, and will provide you with an updated Privacy Policy in the event of any changes.