

**Klaas Financial Asset Advisors, LLC**

4707 Perry Ridge Lane  
Loves Park, IL 61111  
Firm CRD No. 121399

March 31, 2023

**Eric J. Schwartz, CFP®**

Individual CRD No. 6229045  
Investment Adviser Representative  
5951 McKee Road, Suite 200, Fitchburg, WI 53719  
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**Item 1. Cover Page**

This Brochure Supplement provides information about Eric J. Schwartz that supplements the Klaas Financial Asset Advisors, LLC Disclosure Brochure and/or Wrap Fee Program Brochure. You should have received a copy of one or both of those brochures. Please contact us at (877) 495-5227 or by email at [info@klaasfinancial.com](mailto:info@klaasfinancial.com) if you did not receive our Disclosure Brochure and/or Wrap Fee Program Brochure, or if you have any questions about the contents of this Brochure Supplement.

Additional information about Eric is available on the SEC's website at [adviserinfo.sec.gov](http://adviserinfo.sec.gov) by searching his Individual CRD Number shown above.

**Item 2. Educational Background and Business Experience**

Born: 1991

**Educational Background**

- **Bachelor of Science** (2013) - Personal Finance; Certificate - Business, University of Wisconsin-Madison

**Business Background**

- November 2020 - Present **Klaas Financial Asset Advisors, LLC**  
*Investment Adviser Representative*
- February 2017 - November 2020 **Advice and Planning Services**  
*Associate Wealth Management Advisor*
- February 2017 - November 2020 **TIAA-CREF Individual & Institutional Services, LLC**  
*Registered Representative*

**Professional Designation**

**CERTIFIED FINANCIAL PLANNER™ (CFP®).** The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of

other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- **Education.** Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning.
- **Examination.** Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances.
- **Experience.** Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year).
- **Ethics.** Agree to be bound by CFP Board's *Code of Ethics and Standards of Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- **Continuing Education.** Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics and Standards of Conduct*, to maintain competence and keep up with developments in the financial planning field.
- **Ethics.** Renew an agreement to be bound by the *Code of Ethics and Standards of Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

**Item 3. Disciplinary Information**

Eric has no disciplinary information to disclose.



#### Item 4. Other Business Activities

Eric is not engaged in any other business activities.

#### Item 5. Additional Compensation

Other than salary and bonuses from his employment with Klaas Financial Asset Advisors, LLC, Eric does not receive any additional compensation from non-clients for providing advisory services.

#### Item 6. Supervision

As a representative of Klaas Financial Asset Advisors, LLC, Eric works closely with the Supervisors, Craig Jared (C.J.) Klaas and Maleeah L. Wernsing-Cuevas.

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