



Klaas Financial Asset Advisors, LLC

4707 Perry Ridge Lane
Loves Park, IL 61111

Phone (877) 495-5227 | Fax (815) 877-6807

klaasfinancial.com

Form ADV Part 2B – Brochure Supplement

for

Eric J. Schwartz, CFP®

Personal CRD Number: 6229045

Investment Adviser Representative

5951 McKee Road, Suite 200, Fitchburg, WI 53719 | 608-442-5637 | 877-495-5227 | erics@klaasfinancial.com

November 1, 2021

Item 1. [Cover Page](#)

This Brochure Supplement provides information about Eric J. Schwartz that supplements the Klaas Financial Asset Advisors, LLC Disclosure Brochure and/or Wrap Fee Program Brochure. You should have received a copy of one or both of those brochures. Please contact us at (877) 495-5227 or by email at info@klaasfinancial.com if you did not receive our Disclosure Brochure and/or Wrap Fee Program Brochure, or if you have any questions about the contents of this Brochure Supplement.

Additional information about Eric is available on the SEC's website at adviserinfo.sec.gov by conducting an Individual search using his CRD No. 6229045.

Item 2. Educational Background and Business Experience

Born: 1991

Educational Background

- **Bachelor of Science** (2013) - Personal Finance; Certificate - Business, University of Wisconsin, Madison

Business Background

- November 2020 - Present **Klaas Financial Asset Advisors, LLC**
Investment Adviser Representative
- February 2017 - November 2020 **Advice and Planning Services**
Associate Wealth Management Advisor
- February 2017 - November 2020 **TIAA-CREF Individual & Institutional Services, LLC**
Registered Representative
- September 2014 - February 2017 **WEA Financial Advisers, Inc.**
Investment Adviser Representative
- May 2013 - February 2017 **WEA Investment Services, Inc.**
Registered Representative
- January 2017 - February 2017 **WEA Member Benefits**
Financial Planner
- July 2014 - December 2016 **WEA Member Benefits**
Financial Planning Specialist
- May 2013 - July 2014 **WEA Member Benefits**
Retirement and Investment Services Specialist

Professional Designation

CERTIFIED FINANCIAL PLANNER™ (CFP®). The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are

professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 90,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- **Education.** Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning.
- **Examination.** Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances.
- **Experience.** Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year).
- **Ethics.** Agree to be bound by CFP Board's *Code of Ethics and Standards of Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- **Continuing Education.** Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics and Standards of Conduct*, to maintain competence and keep up with developments in the financial planning field.
- **Ethics.** Renew an agreement to be bound by the *Code of Ethics and Standards of Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.



CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3. Disciplinary Information

Eric has no disciplinary information to disclose.

Item 4. Other Business Activities

Eric is not engaged in any other business activities.

Item 5. Additional Compensation

Other than salary and bonuses from his employment with Klaas Financial Asset Advisors, LLC, Eric does not receive any additional compensation from non-clients for providing advisory services.

Item 6. Supervision

As a representative of Klaas Financial Asset Advisors, LLC, Eric works closely with the Supervisors, Craig Jared (C.J.) Klaas and Maleeah L. Wernsing-Cuevas.

C.J.'s contact information is:

5951 McKee Road, Suite 200
Fitchburg, WI 53719
608-442-5637
877-495-5227
craigj@klaasfinancial.com

Maleeah's contact information is:

4707 Perry Ridge Lane
Loves Park, IL 61111
815-877-8440
877-495-5227
maleeahc@klaasfinancial.com